LINDA D. FRIEDMAN 1 JENNIFER S. GILBERT 2 STOWELL & FRIEDMAN, LTD. 321 S. Plymouth Court, Suite 1400 3 Chicago, Illinois 60604 (312) 431-0888 (ph) 4 (312) 431-0228 (fax) lfriedman@sfltd.com 5 jgilbert@sfltd.com 6 7 IN THE UNITED STATES DISTRICT COURT 8 DISTRICT OF NEVADA 9 10 SARAH NYAMUSWA, 11 Plaintiff, Case No. 2:09-CV-02288-JCM-(PAL) 12 v. **ORDER** 13 14 MORGAN STANLEY SMITH BARNEY LLC, 15 Defendant. 16 17 THIS MATTER having come before the Court on Plaintiff's Unopposed Motion to 18 Expunge and Amend Form U-5, and the Court having been provided with sufficient information, 19 it is hereby ORDERED and ADJUDGED that: 20 21 THE MOTION TO EXPUNGE AND AMEND PLAINTIFF'S U-5 IS 1. 22 GRANTED. The Financial Industry Regulatory Authority ("FINRA") is ordered to Expunge and 23 Amend Plaintiff's Form U-5 as follows: 24 Question 3 on Ms. Nyamuswa's Form U-5, which currently reads: "DID NOT MEET REQUIREMENTS OF TRAINING PROGRAM," should be removed and the following 25 language should be inserted in its stead: "VOLUNTARY RESIGNATION." 26 27

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2. The Court recognizes that FINRA is not a party to this litigation and has therefor
not been presented with an opportunity to object to this. Therefore, the Court executes this order
but stays its entry subject to the following conditions:
(1) Plaintiff shall file proof of service on FINRA with this Court;
(2) FINRA shall have 14 days from the date of service to file objections to this Order
and
(3) This Order shall only become final if no objections are filed within 14 days from
the date of service.
DONE AND ORDERED in chambers at Las Vegas, Nevada this 9th day of August, 2010.
Xellus C. Mahan
THE FONORABLE JAMES C. MAHAN
UNITED STATES DISTRICT JUDGE
Copies furnished to: Counsel of record